# Student Aid Internet Gateway (SAIG) Enrollment Form



September 2000

OMB NO: 1845-0002 Expiration Date: 08/31/2003

#### Enrollment to Participate in the U.S. Department of Education's Student Aid Internet Gateway (SAIG)

#### **About Enrollment**

"We" and "us" refer to the *U.S. Department of Education (ED, Department)* in this form. "You" and "your" refer to the organization applying to participate.

This enrollment process can enable you to receive, transmit, view, and update student financial aid data available through ED's *Student Aid Internet Gateway*, referred to as *SAIG*. There are no deadlines for submitting this application. After you enroll, we will automatically continue your active enrollment from year to year, unless you tell us otherwise.

The following entities are eligible to enroll in SAIG:

- postsecondary schools that participate in federal student financial aid programs,
- organizations that provide services to these schools (third-party servicers),
- guaranty agencies for student loans,
- lenders for the Federal Family Education Loan (FFEL) Program or their servicers,
- software providers, and
- others approved by the U.S. Department of Education.

Through this enrollment process, you also can add and change information about yourself and your organization. To add and change information, check Step 1, Question 1, Box C; fill in the information that has changed for any questions in Steps 1 and 2; complete Steps 3 and 4; and send your application to the address below.

You may photocopy this form, but you must always send us original signatures for Step 3 and Step 4. We will not accept photocopied or stamped signatures.

At the end of this form you will find a glossary that will assist you in understanding many technical terms and acronyms commonly used in relation to the Title IV student financial aid programs. The first usage of any term found in the glossary is *italicized* in this document.

Send your completed enrollment form to:

# Title IV WAN Customer Service P.O. Box 30 Iowa City, Iowa 52244

#### **General Information**

By enrolling your organization in SAIG, you will be able to exchange information electronically with the U.S. Department of Education. Many student financial aid services can be accessed via the SAIG. You must determine which services are needed by your school or organization and enroll appropriate individuals as *destination point administrators* (*DPAs*) for the specific services that you want them to access. When you enroll a new DPA, a destination point will be established with an assigned "TG" number that identifies the DPA's destination point on the SAIG.

Only one *destination point* can be established to exchange each type of data available through the *Central Processing System (CPS)*, except initial applicant data can be submitted by two destination points (see Question 11). Similarly, only one destination point each can be established for campus-based program report data (see Question 12), Federal Perkins Loan batch data (see Question 13), and Federal Pell Grant Program (see Question 15). However, multiple individuals, called SAIG users (see Enclosure B) can be given access to these destination points. Destination points that include access to the *National Student Loan Data System (NSLDS)* data can be used for other services, however only the DPA for that destination point can use that destination point to access NSLDS on-line (see Question 14).

You may enroll destination point administrators with their own destination points (mailboxes) for each service or function, or you may combine services and functions through a single destination point administrator (DPA) with a single destination point (DP). Many institutions find a single DPA and DP is sufficient. The number of destination points allowed is provided in the following table.

Service	Number of Destination Points Allowed
Direct Loan (All)	One
CPS, Submit Initial App. Data	Two
CPS, All Other Functions/Types	One
Pell Grant Program, Batch Files	One
Pell Grant,On-line	No Limit
Campus-based, FISAP	One
NSLDS, Batch Files	One
NSLDS, On-line	No Limit

The Department's *Electronic Data Exchange (EDE)* services that can be accessed over the SAIG are:

<u>Federal Direct Loan Program (Direct Loan Program):</u> If your institution participates in the Direct Loan Program, application processing, drawing and disbursing funds, and program reporting will all involve the transmission of data over the SAIG. Direct Loan schools must be enrolled on the SAIG. To enroll a DPA for a destination point with Federal Direct Loan Program data capability, complete Step 1; Step 2, Questions 9 and 10; Step 3; and Step 4.

<u>Institution Applicant Data Service (performed by ED's Central Processing System (CPS)</u>: Student application data including corrections can be entered and obtained over the SAIG. Student application data is received via a paper or electronic version of the *Free Application for Federal Student Aid (FAFSA)* and reported to the school via the *Institutional Student Information Record (ISIR)*. To enroll a destination point administrator with access to these services, complete Step 1; Step 2, Questions 9 and 11; Step 3; and Step 4. (Note: Only one destination point can be used to exchange data with **each** of the CPS applicant data functions, except in the case of initial FAFSA applicant data, two DPAs are permitted.)

<u>Federal Pell Grant Program:</u> The Federal Pell Grant Program payment system allows payment and required reporting information to be exchanged electronically via the SAIG. All participants in the Federal Pell Grant Program must transmit payment and reporting data over the SAIG. To enroll for this service complete Step 1; Step 2, Questions 9 and 15; Step 3; and Step 4.

<u>Fiscal Operations Report and Application to Participate in the Federal Campus-based Programs (FISAP):</u> The annual *Fiscal Operations Report and Application to Participate in the Federal Campus-based Programs* (Federal Work-Study, Federal Supplemental Educational Opportunity Grant, and Federal Perkins Loan) must be submitted electronically over the SAIG. To enroll a destination point administrator for this purpose complete Step 1; Step 2, Questions 9 and 12; Step 3; and Step 4. (Note: Only one destination point can be used for this service.)

National Student Loan Data Service (NSLDS): The NSLDS is a national database that collects and maintains individual student data (unit specific) for all federal student loan borrowers with outstanding loan balances and selected grant data. All institutions that participate in the Title IV federal student financial aid programs must have at least one destination point for NSLDS services that includes on-line financial aid transcript information, Student Status Confirmation Reports functions for updating student enrollment data, overpayment reporting, and borrower tracking. To enroll a DPA with a destination point for these services complete Step 1; Step 2, Questions 9, 13, and 14; Step 3; and Step 4.

When your application form has been approved and processed, you will receive a package with the software and documentation you will need to connect with SAIG and your assigned TG and customer numbers. In a second mailing you will receive a copy of your completed enrollment form signed by a ED representative. When your organization is enrolled, you can review the SAIG enrollments for your organization by using the SAIG On-line Query Function. This can be found by dialing On-line QUERY. Please see on-line help in EDconnect for more information.

Please review the fee schedule (see Attachment A) for SAIG services used by enrolled organizations.

To participate on the SAIG your organization must use designated electronic processes. Beginning with the 1999-2000 award year, institutions must be prepared to process ED data using Mainframe, Windows 95, Windows NT, or a newer version of the Windows operation system. For more information on these and other electronic processing requirements see Dear Colleague Letter GEN-97-11 and the notice published in the Federal Register on September 19, 1997. If you have questions regarding any of these requirements, please contact Title IV WAN Customer Service at 800-615-1189 or send e-mail via the Internet to T4WAN@NCS.COM.

To complete this enrollment process, you may need the following information:

- Currently enrolled organizations will need their existing TG number(s) of current destination point(s), the names of destination point administrator(s) (DPAs) or contacts, and the customer number(s). Customer numbers begin with the letter "Z" and can be found on invoices from SAIG.
- Postsecondary institutions will need the OPE-ID number. If your school participates in any of the federal campus-based programs, Pell Grant Program, and/or Direct Loan Program, you will also need the institution's FISAP serial number, Federal School numbers, and/or Direct Loan Program numbers. To find these numbers, look at the reports your school has submitted for these programs in the past, your institutional eligibility letter, and your institution's agreement to participate in the Title IV programs. You can call ED's Institutional Participation and Oversight Service (IPOS) at 202-205-0183.
- Guaranty agencies will need the guaranty agency (GA) code number assigned by ED. A list of active and inactive GA codes is contained in the instructions for the ED Form 1189 (dated 1/95) and ED Form 1130 (1/93).

- Lenders will need the lender ID number assigned by ED. Currently participating lenders will find their lender ID numbers on ED Form 799. New lenders will find their ID numbers on ED's Lender Participation Questionnaire.
- All individuals completing this form will need the name, address, Social Security Number (SSN), date of birth, and mother's maiden name for each destination point administrator, current and new.

If you have any questions about completing your application, please call Title IV WAN Customer Service: 1-800-615-1189

### **How Student Aid Internet Gateway Enrollment Works**

By completing this application, you will be enrolling individuals as destination point administrators (DPAs) for destination points (mailboxes) on the SAIG. Enrollment is designed to secure the integrity of data that is exchanged between you and the many services accessed over SAIG. Before beginning to complete the application, you should determine the SAIG services that your organization must access, the appropriate people who need to interact with those services, and the number of destination points required.

Each destination point must be assigned an individual to serve as its DPA. Except in the case of some NSLDS services, the DPA can give access to the enrolled services of a destination point to multiple individuals called SAIG users (see Attachment B). You should make additional copies of Steps 2-4 to accommodate the total number of DPAs you seek to enroll or update.

# Example

A common enrollment for a school financial aid office that participates in Pell Grant, the campus-based programs, and the Federal Family Education Loan Program includes two destination point administrators (DPAs) with assigned mailboxes (destination points) that are identified on the network by the TG numbers. The first DPA (DPA-1) is responsible for nearly all of the electronic transmissions across the WAN. DPA-1 and the assigned destination point (mailbox) is set up to send and receive data related to the FAFSAs and ISIRs (CPS), Pell Grant payments, FISAP reporting, and NSLDS (reporting and on-line functions). DPA-1 may allow others to access all the services accessible through his/her mailbox, except the on-line NSLDS functions. Therefore, the school enrolls a second individual as a DPA (DPA-2) with a second destination point so that a second individual can access NSLDS on-line, primarily to check financial aid transcript information.

Where permitted, the destination point administrator will determine the SAIG users allowed access to that destination point. The DPA must enforce the security requirements as outlined in the SAIG User Statement (see Attachment B); including the completion and maintenance of this statement(s). Your President/CEO/Chancellor, the equivalent, or designee must certify that each DPA has developed secure procedures in compliance with the security requirements for permitting other people to use his/her destination point (see Step 4). The DPA also must complete a profile for each SAIG user within the EDconnect software used to connect to SAIG. See EDconnect Help Text for instructions.

Note: If you want to <u>cancel</u> the enrollment of any DPAs, send a letter on organization letterhead identifying the TG numbers that you want cancelled. The letter should be mailed to Title IV WAN Customer Service, P.O. BOX 30, Iowa City, IA 52244.

## **Instructions for Completing Enrollment**

Before you begin, make copies of Steps 2 through 4 for each destination point administrator (DPA) you are enrolling or providing new information.

### **Step One**

Step One collects information about your organization.

1. This enrollment form can be used for multiple purposes. You may use the enrollment form to enroll new participants on SAIG such as a newly eligible school or a third-party servicer or lender that has never used SAIG for any reason. You can also use the enrollment form to change or to add information about an existing destination point administrator (DPA) or destination point (DP), and to add destination points for an already enrolled organization.

Check "A, initial application" if you are a first-time participant.

Check "B" if your organization is enrolled on SAIG and you want to add a destination point. Provide the customer number of your organization to which the fees for this new destination point will be billed.

Select "C" if your organization is enrolled on the SAIG and you want to change or add any information about an existing destination point. Fill in the information that has changed for any questions in Steps 1 and 2; and complete Steps 3 and 4. If you are uncertain of what to complete, call Title IV WAN Customer Service at 1-800-615-1189.

- 2. Provide the name of your organization as you would like it to appear on all SAIG correspondence. (The limit is 35 characters, including letters and spaces.)
- 3. Check the appropriate box to indicate the type of organization.
- 4. Check "yes," if the school you are enrolling on the SAIG participates in the Federal Direct Loan Program. Check "no" if the school does not participate.
- 5. Check the appropriate box to indicate if you will be using a personal computer, a mainframe system, or both to send and receive transmissions via the SAIG.
- 6. Each school must identify a single individual responsible for receiving and processing all invoices and billing correspondence for SAIG services. If you have provided a customer number in Question 1 and there are no changes in the identifying information requested in Question 6 for the individual receiving the information, skip this question and go to Question 7. If you do not have a customer number, or you need to change any of the information associated with your current customer number, complete all the information requested in Question 6.
- 7. Technical reference materials for EDE and Direct Loan are automatically sent to all DPAs unless you instruct us to send these materials to someone else.
- 8. Check the appropriate box to indicate the time zone from which you will transmit data over the SAIG. Indicate if that geographic area uses Daylight Savings Time.

# **Step One: Please provide the following information.**

1. Is this an initial application or are you changing/ad	lding information to your destination point?
A. □ initial application	
B. □ adding a new user for customer Numbe (Answer all question	
C. $\square$ change information for existing destina	ation point/mailbox with TG
(Read instructions ca	arefully.)
2. What is the name of your organization?	
, , , , , , , , , , , , , , , , , , , ,	(maximum 35 spaces)
3. Please indicate your type of organization.	
□ postsecondary school	□ software provider <i>or</i> other organization wanting only software
□ third-party servicer	and documentation (Complete only Questions 6 and 9. You will not have access to SAIG.)
<ul><li>guaranty agency for student loans</li></ul>	not have access to 57 Ha.,
□ lender for the FFEL Program or its servicer	
□ other	
(describe)	
4. Are you a Direct Loan school or a servicer for a D	irect Loan school?
5. Please indicate if you will use a personal computer	, a mainframe, or both to access SAIG.
□ personal computer □ mainfra	ame 🗖 both
6. Who should receive all invoices and billing corres	pondence? Do not answer this question if you provided a customer number
in Question 1B. No purchase order is needed.	
Name	
Business address	
Business address	
CityState	eZip
Area code/phone ()	Area code/fax ()
7. <b>(Optional)</b> Do you want all technical reference administrator? If yes, complete:	es sent to another individual rather than to this destination point
Name	
Business address	
Business address	
City State	Zip
	Area code/fax ()
E-Mail Address:	(maximum 70 spaces)
8. What is your time zone?   Eastern   Centr	ral 🗆 Mountain 🗅 Pacific 🗆 ther
Does Daylight Savings Time affect your area? □	Yes □ No

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Customer number\_\_\_\_\_
TG number\_\_\_\_\_

## Step Two

In Step Two you will identify the destination point administrator (DPA) you want to enroll or about who you are changing or adding information. You should make additional copies of Steps 2-4 for each DPA you are enrolling or updating information.

- 9. All information must be provided for each destination point administrator you wish to enroll for the organization you identified in Question 2. This information will *only* be used to authenticate a caller's identification when a password change is requested by telephone.
- 10. If your organization participates in the Federal Direct Loan Program and the destination point administrator identified in Question 9 will be assigned to submit and receive Federal Direct Loan Program data, please identify the years that the DPA in Question 9 will need to access Direct Loan data. Also, please provide the school's Direct Loan code. If the DPA is already enrolled and you are only updating information for that DPA, provide the TG number for that DPA's destination point.
- 11. A. If this DPA will be sending and receiving student applicant data (FAFSAs and ISIRs), complete all required information including the *Federal School Code* (formerly called "Title IV Code").

If this enrollment is for a DPA that will not be involved in any CPS applicant data transmissions, go to Question 12.

B. Check the boxes for the award years this DPA should receive the applicant data you check in Question 11- C.

# Step Two: Tell us about each destination point administrator at your organization.

		te: Photocopy Steps Two, Three, and Fon the control of the control				signating more
	A.	Name				
		Business address				
		Business address				
		City	State		_Zip	
		Area code/phone ()	Δ	rea code/fax (	)	
		E-Mail Address:		70		
					(day)	
		Social Security Number		of birth		19
		Mother's maiden name				
	B.	If you do not want to receive software a appropriate boxes. □ Do not so	nd/or documentation send software		<b>n point admin</b> end documenta	
10.	A.	Do you want this DPA's destination point to		Direct Loan informa	tion?	
		☐ Yes, for 2000-2001 ☐ Yes, for 2001-20	002  □ No			
		If yes, for which school?				
		Name of school				
		Direct Loan Code				
		If you are completing this form for an exist	ing DPA, provide the	TG Number:		
	B.	Do you want this DPA's destination point t	to receive the Direct L	oan Borrower Deliqu	ency Report?	
		☐ Yes ☐ No, go to Que	estion 11.			
		If yes, check the appropriate boxes. $\square$	Report Format	Data Format	Both	
		If you are completing this form for an existing	•	G Number:		
	-	What is your Direct Loan Code?				
11.	Do	you want this DPA's destination point to <b>sul</b>		lata with the Central	Processing Sys	tem (CPS)?
		Yes				
	A.	If yes, for which school?				
		Name of school				
	Federal School Code Check if address is same as in Question 9. Go to Question 11-B.					
		Business address				
		D : 11				
		Business address				

- 11. C. Check the boxes for the CPS applicant data function you want this DPA to receive and submit. If you are completing this form for an enrolled DPA, provide the TG number of the destination point already assigned to this DPA to which this data will be sent. Remember, one destination point can be used for **each** function, and that up to two destination points can be used to submit initial application data. However, it is not necessary to have multiple destination points. You may choose to have a single destination point for all SAIG services, including all CPS functions.
- 12. If you participate or expect to participate in Federal Work-Study, Federal Supplemental Educational Opportunity Grant, and/or Federal Perkins Loan program(s), **and** the destination point administrator for whom you are completing this enrollment form will be administering the destination point for the FISAP, then complete Question 12. Otherwise, go to Question 13.

If you currently participate in any of the federal campus-based student aid programs, provide your campus-based programs serial number. You will find the serial number on your most recent FISAP report. Next, provide the TG number of the destination point you wish to use to exchange FISAP data.

Fill in the name of the school. If the address of the school has not already been provided in Question 9, provide all the requested address and telephone number information.

13. A. If you want the destination point administrator you are enrolling to receive any batch file data from NSLDS, you must complete Question 13.

# Step Two: Tell us about each destination point administrator at your organization (continued).

	or which award years? (Check	,			
ont'd.)	2000-2001 🗆 2001-	2002			
C. If	yes, which destination point (	TG number) will be tran	smitting and r	receiving data?	
	all of the following to the sam	ne destination point	TG		
	initial applications* (initial FA	AFSAs)	TG	TG	
	renewal applications (renewal	FAFSAs)	TG		
	corrections (FAFSA correction	ns)			
	ISIRs		TG		
12. Do yo	u participate or plan to participa	ate in Federal Work-Study	, Federal Supp	lemental Educational Opport	unity Gra
and/or	Federal Perkins Loan Program?				
□ Y	es	stion 13.			
If yes	provide the serial number. 00	0			
If you data?	currently participate in SAIG	, which TG number (des	tination point	) do you want to use to excl	nange FIS
data?	currently participate in SAIG TG the address information.	, which TG number (des	tination point	) do you want to use to excl	nange FIS
data? Fill ir	TG		•	·	nange FIS
data? Fill ir	TG  the address information.  of school			·	nange FIS
data? Fill in Name □	TG  the address information.  of school  Check if address is same as in 0	Question 9. Go to the next	t question.		
<b>data?</b> Fill in Name □ Busin	TG  the address information.  of school  Check if address is same as in east address	Question 9. Go to the next	t question.		
data? Fill in Name □ Busin Busin	TG  the address information.  of school  Check if address is same as in the saddress  ess address	Question 9. Go to the next	t question.		
data? Fill in Name □ Busin Busin	TG  the address information.  of school  Check if address is same as in east address	Question 9. Go to the next	t question.		
data? Fill in Name □ Busin Busin City_	TG  the address information.  of school  Check if address is same as in the ess address  ess address	Question 9. Go to the next	t question.	Zip	
data? Fill in Name  Busin Busin City_	TG  the address information.  of school  Check if address is same as in the saddress  ess address	Question 9. Go to the next  State  ubmit and receive any of t	t question.	ZipZip	
data? Fill in Name  Busin Busin City_	TG  the address information.  c of school  Check if address is same as in the ess address  ess address  a want this destination point to suffinancial aid transcripts -batch	Question 9. Go to the next  State  ubmit and receive any of t	t question.	ZipZip	
data? Fill in Name Busin Busin City_  13. Do you -batch	TG  the address information.  c of school  Check if address is same as in the ess address  ess address  a want this destination point to suffinancial aid transcripts -batch	Question 9. Go to the next  State  State  ubmit and receive any of the student Status Confirmate to Question 14.	t question.  the following Nation Reports (SS	ZipZip	
data? Fill in Name Busin City_  13. Do you batch You A. If	TG	Question 9. Go to the next  State  State  ubmit and receive any of the Student Status Confirmate to Question 14.  ty agency (GA), or lender	t question.  the following Nation Reports (SS	ZipZipSLDS batch files? SCRs) -batch Perkins Lo	an data
data? Fill in Name Busin Busin City_  13. Do you batch You A. If	TG	Question 9. Go to the next  State  State  ubmit and receive any of the student Status Confirmate to Question 14.  ty agency (GA), or lender	t question.  the following Nation Reports (SS	ZipZipSLDS batch files? SCRs) -batch Perkins Lo	an data
data? Fill in Name Busin Busin City_  13. Do you -batch  Yo A. If	TG	Question 9. Go to the next  State  State  State  State  GA Code  Question 9. Go to Question	t question.  the following Nation Reports (SS	ZipZipSLDS batch files? SCRs) -batch Perkins Lo Lender ID Number	an data
data? Fill in Name Busin Busin City_  13. Do you -batch  You A. If	TG  the address information.  cof school Check if address is same as in oness address  as want this destination point to suffinancial aid transcripts -batches	Question 9. Go to the next  State  State  State  b Student Status Confirmat to Question 14.  b A Code GA Code  Question 9. Go to Question 14.	t question.  the following Nation Reports (SS	ZipZipSLDS batch files? SCRs) -batch Perkins Lo _ Lender ID Number	an data

Question 13 continues on the next page.

Office Use Only	
Customer number	
TG number	

13. B. In Question 13-B, check the boxes for the batch files you want this DPA to receive. If this DPA already has a destination point, provide the TG number here. Please provide the date on which this DPA will begin responsibility for the sending or receiving of NSLDS batch data. Indicate whether this DPA's destination point will receive batch Student Status Confirmation Reports (SSCRs) and/or Perkins Loan data over the SAIG or by mailed magnetic tape. If magnetic tape is used, provide the Contact's name and complete telephone number, including area code.

If you are enrolling for a guaranty agency or lender, you can only check the box indicating your request to receive batched SSCR data and provide the effective date. Do not select any other choices listed in Question 13. Go to Question 14.

14. If this destination point administrator will have on-line access to student data available on NSLDS, check the boxes to indicate the specific information type(s) you want this DPA to access.

Online Functions automatically given to users are:

Loan History SSCR Schedule

Pell Grant Data Provider Schedule

Enrollment History Student Repayment History

Overpayment History Various Online Reports

**Organization Contacts** 

If this DPA will access information for a school as its third-party servicer, provide the school's name and OPE-ID number.

If this DPA will access NSLDS as a guarantor or lender, provide the numeric, three-digit GA code or the numeric six-digit lender ID number, as appropriate.

# Step Two: Tell us about each destination point administrator at your organization. (continued)

es, which information?				
□ batch financial aid transcripts (FATs) TG				
ill this destination point adminis	ion Reports (SSCRs) Effective Date of Action//			
-	l method for schools) TG 60 Cartridge □ 800 BPI Reel □ 1600 BPI Reel □ 6250 BPI Reel			
ape, who should we contact if w	ve receive damaged tape? Area code/phone ()			
	ctive Date of Action//			
ill this destination point administ ctronic mailbox or on magnetic	•			
□ SAIG electronic mailbox TG				
☐ magnetic tape (check type) ☐ 3480 Cartridge ☐ 800 BPI Reel ☐ 1600 BPI Reel ☐ 6250 BPI Reel (magnetic tape is available only to servicers)				
rape, who should we contact if w				
on-line National Student Loan D	point administrator is permitted to use his or her destination point for access Data System (NSLDS) information listed in this question. Permission to use DS CANNOT be granted to anyone else.			
· ·	as which are automaticlly given to all users. These functions are listed in the			
which NSLDS information you wa	rator to update online any of the following NSLDS information, in the columns beloant this DPA to access by checking one or both boxes below. (NSLDS will send the			
rollment Update	☐ Overpayment Update			
If no, go to Question 15.				
Which TG number will be accessing on-line data? TG				
You are a school, what is your OPE-ID number*?				
you are a guaranty agency, what is your GA Code?				
f you are a FFEL Program lender, what is your lender ID number?				
	(School OPE-ID) do you need on-line NSLDS access?  (School OPE-ID number*)			
, , , , , ,				
	batch financial aid transcripts (FA batch Student Status Confirmat ill this destination point administ ingnetic tape?  SAIG destination point (preferrer magnetic tape (specify type) □ 3480 tape, who should we contact if we batch Perkins Loan data Effe ill this destination point administ intercent mailbox or on magnetic SAIG electronic mailbox magnetic tape (check type) □ 3480 (magnetic tape is available tape, who should we contact if we me  RTANT: Only the destination on-line National Student Loan Intercent of the stination point for on-line NSLI soffers a variety of on-line function ions for Question 14.  The stination point administ the which NSLDS information you we separate ID and password.) The proposed of the strength of the stination point administ the which NSLDS information you we separate ID and password.)  To Question 15.  TG number will be accessing one a school, what is your OPE-II are a guaranty agency, what is your or a sFEL Program lender, what			

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TGnumber\_

- 15. If you want this DPA to receive and submit Pell Grant Payment data with the Recipient Financial Management System (RFMS) then complete question 15. Otherwise, go to Step 3.
- 16. If you want this DPA to have Recipient Financial Management System (RFMS) on-line access please complete question 16. Otherwise, go to Step 3.

15.		you want this DPA's destination point to submit and/or receive pell payment data with the Recipient Financial nagement Systems (RFMS)?
		Yes □ No, go to Step 3
	A.	If yes, for which school?
		Name of school
		Federal School Code
		☐ Check if address is same as in Question 9.
		Business address
		Business address
		CityStateZip
	В.	For which award year? (Check when you want services to begin)
		□ 1999-2000 □ 2000-2001 □ 2001-2002
	C.	Which destination point (TG number) will be transmitting and receiving data?
	О.	TG
l <b>6.</b>		you want this DPA's destination point to have on-line access with the Recipient Financial Management Systems (RFMS)?  Yes  No, go to Step 3  If yes, for which school?
		Name of school
		Federal School Code
		☐ Check if address is same as in Question 9.
		Business address
		Business address
		CityStateZip
	B.	For which award year? (Check when you want on-line services to begin)  □ 1999-2000 □ 2000-2001 □ 2001-2002
		2002-1003 L 1002-0001 L 2002-0001
	C.	Which destination point (TG number) will have on-line access?
		TG

# **Step Three**

Step Three collects the required agreements from the destination point administrator identified in Step Two.

Each destination point administrator must read and sign this statement. The original signature form must be attached to your completed enrollment form and submitted to Title IV WAN Customer Service, P.O. Box 30, Iowa City, Iowa 52244. A copy of the signed and dated statement must be retained by your organization. You will receive an initial package of information about your enrollment. In a second mailing, you will receive a copy of your completed enrollment form signed by ED, which must also be retained.

# **Step Three: Responsibilities of the Destination Point Administrator.**

Each SAIG destination point administrator must read and sign this statement and keep a copy on file.

#### 1. Responsibilities of the destination point administrator.

- The destination point administrator must maintain a profile within the EDconnect software and a SAIG User Statement for anyone who has permission to use his or her destination points. (See the EDconnect Help Text for instructions about how to create and maintain these profiles. See Attachment B of the SAIG enrollment document for the user statement.)
  - **IMPORTANT:** Only the destination point administrator himself or herself is permitted to use the National Student Loan Data System (NSLDS) destination point that has the information listed in Question 14 on page 13. Permission to use this destination point for NSLDS CANNOT be granted to anyone else.
- The destination point administrator will use software provided by ED to monitor his or her SAIG destination point. This software will keep track of who is using the destination point, what information is being used, the date and time, and the batch number (if applicable).
- Use of SAIG is subject to monitoring, recording, and periodic auditing to ensure that resources are functioning properly and to protect against unauthorized use. By using SAIG, the destination point administrator is expressing consent for such monitoring, recording, and auditing, and is acknowledging that information gained in this manner may be disclosed to an appropriate third party (for example, law-enforcement personnel).

#### 2. Appropriate use consists of the following:

- Using SAIG computing resources for official government business. (Any other use must be approved by the U.S. Department of Education.)
- Protecting all SAIG information from access by or disclosure to unauthorized personnel.
- Prohibiting password sharing and the sharing of system access and any tools that allow access to SAIG. (These tools are called "authenticators.")
- Accessing only systems, networks, data, control information, and software for which the destination point administrator is authorized.
- Knowing procedures for sanitizing stored information (for example, overwriting disks that contain sensitive information before reuse).
- Informing SAIG management when the destination point administrator no longer needs access to a SAIG resource, such as when the destination point administrator changes jobs or leaves employment.

#### 3. Agreements

• The destination point administrator understands that if he or she intentionally submits false or misleading information to the U.S. Department of Education, he or she will be subject to a fine up to \$10,000, imprisonment for up to five years, or both, under provisions of the United States Criminal Code (including 18 U.S.C. 1001). The destination point administrator also agrees to comply with all provisions of Section 483 of the Higher Education Act of 1965, as amended.

#### 4. Privacy Act Statement

• The information provided to the destination point administrator by the U.S. Department of Education is protected by the Privacy Act of 1974, as amended. Protecting this information, once it is entrusted to the destination point administrator, becomes his or her responsibility. Therefore, the destination point administrator agrees to protect the privacy of all information that has been provided to the destination point administrator by the U.S. Department of Education. The destination point administrator understands that any person, including himself or herself, who knowingly and willfully requests or obtains any record concerning an individual from an agency under false pretenses shall be guilty of a misdemeanor and may be fined not more than \$5,000.

Destination point administrator's name	
•	(printed name)
Signature	Date
(must match name in Question 9 - orig	ginal signature must be submitted)
Name of school, agency, or third-party servicer	

Office Use Only
Customer number
TGnumber

## **Step Four**

Step Four collects the required authorization from your organization to process the enrollment information for the destination point administrator you've identified in Step 2.

If the President, CEO, Chancellor or equivalent person wishes to designate another person to be the responsible authorizing official then section2 must be completed. **This designation needs to be completed only once.** 

For each DPA, the chief officer of the organization (President, CEO, Chancellor, equivalent person, or Designee) must sign Section 3, the responsibility statement. If your organization is a third-party servicer acting on a school's behalf, both the school's chief officer and your organization's chief officer must sign. The original signature form must be attached to your completed form and submitted to the Title IV WAN at the address on page 2. You will receive an initial package of information about your enrollment. In a second mailing, you (if you're a third-party servicer) and the school will receive a copy of the entire enrollment form, accepted and signed by ED, which you both must retain.

# Step Four: Certification of the President/CEO/Chancellor, or equivalent position, or designee.

5	iicc.		
1.	Acknow	vledgement of Payment Responsibility	
•		As the President/CEO/Chancellor, or the equivalent, you or receiving, and viewing information that is available through determined by our fee schedule. If you are a third-party ser position acknowledges responsibility for informing the school Your organization agrees to pay upon receiving an invoice for the president of the pre	n SAIG. The amount the organization pays will be vicer, your President/CEO/Chancellor, or an equivalent of serviced of all charges that must be paid by the school.
2.	Designa	tion of Authorizing Official	
F		You hereby designate authorizing official for all future SAIG Enrollment forms. A President/CEO/Chancellor, or equivalent position shall be you acknowledge that any actions of the designee resulting obligations to the institution. You agree to assume the responsible enrollment agreements and with fee schedules for those against below.	carried out by this designee. In making this designation is from this designation may result in financial and other insibility for such actions associated with this and future
	School Pre	esident/CEO/Chancellor, or equivalent position	President/CEO/Chancellor or equivalent person)
	Signature	Date _ (original signature must be submitted)	
_ 2			
Э.	Kespon	sibilities of the President/CEO/Chancellor, or E	equivalent Person or Designee.
	As th	e President/CEO/Chancellor, equivalent person, or designee,	you further certify that:
	•	the name of every person who is a SAIG destination point ad file with SAIG),	ministrator is included in this application (or is already or
	•	you will immediately notify the Title IV WAN customer serv destination point administrator or as the designated authorize	
	•	you will not permit unauthorized use or sharing of SAIG passelse in your organization, $$	words or codes that have been issued to your or to anyone
	•	each person who is a SAIG destination point administrator for understood the responsibilities in Step Three and, $ \frac{1}{2} $	or your school, agency, or organization has read and
	•	each person who is a SAIG destination point administrator for "Step Three: Responsibilities of the Destination Point Admissignature has been sent to us.	
	Authorize	d Official(printed name and title of Authorized	Official)
		Date (original signature must be submitted)	
	Name of s	chool or agency	
∟ If		a third-party servicer acting on a school's behal	
Γ.	you are t	a title party servicer acting on a seriour s beneat	y, you must read and sign this corresponding
	Authorize	d Official(printed name and title of Authorized	
	Signature	Date (original signature must be submitted)	
	Name of s	chool or agency	
<b>7</b> 7	C Dane	stment of Education anthonisis a Cincotton	Office Use Only
IJ.	s. Depai	rtment of Education authorizing Signature:	Customer number

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TG number\_\_

### Glossary

- Award Year. Spans from July 1 of a year through June 30 of the next year. For the Federal Pell Grant and campus-based programs, eligible students are paid out of funds designated for a particular award year, such as the 1999-2000 award year (July 1, 1999 through June 30, 2000).
- Campus-based Programs. The Federal Perkins Loan, Federal Work-Study (FWS), and Federal Supplemental Educational Opportunity Grant (FSEOG) programs are often collectively referred to as the campus-based programs because the funds for these programs are awarded directly to the school for awarding and administration.
- Central Processing System (CPS). ED's application data processing facility. The CPS uses student information from the Free Application for Federal Student Aid (FAFSA) to calculate the student's official Expected Family Contribution (EFC), creates and transmits to schools the Institutional Student Information Record (ISIR), and prints and mails to the student the Student Aid Report (SAR).
- Destination Point. An electronic mailbox that physically transmits and receives financial aid data via the SAIG. Every destination point is administered by a destination point administrator (DPA) and has an electronic mailbox number that begins with the letters "TG." (See Destination Point Administrator.)
- Destination Point Administrator (DPA). An individual representing an organization involved in the administration of Title IV student financial aid programs, (such as a postsecondary institution, FFEL lender, FFEL guaranty agency, third-party servicer, or software provider) who is enrolled on the SAIG and assigned a destination point. To enroll as a DPA on the SAIG, an organization's representative must complete and submit for processing the Enrollment Form to Participate in the U.S. Department of Education's Student Aid Internet Gateway. (See Destination Point.)
- EDconnect. ED's telecommunications software that allows users to send and receive data via the SAIG.
- EDexpress. A software product provided by ED that allows institutions to enter, edit, manage, and report Title IV student financial aid application data and Federal Pell Grant payment data. This software also has functions that institutions can use to package financial aid awards, originate Direct Loans, and print Direct Loan promissory notes.
- Electronic Data Exchange (EDE). The ED-sponsored program that allows participating destination points to exchange electronically federal student aid applicant data, Direct Loan data, and Federal Pell Grant Program Payment data for the SAIG.
- Free Application for Federal Student Aid (FAFSA). The application that the student must file to apply for aid from any Title IV program, including the FFEL and Direct Loan programs. The FAFSA is printed and distributed free of charge by the U.S. Department of Education. Students can also apply electronically by using FAFSA on the Web (http://www.fafsa.ed.gov) or FAFSA Express.

- *FISAP*. The annual report institutions file to report on their use of campus-based program funds for the most recently completed award year and to apply for new funds for an upcoming award year.
- Guaranty Agency (GA). An organization authorized by the U.S. Department of Education to administer the federal guarantee that Federal Family Education Loans will be repaid.
- Institutional Student Information Record (ISIR). The ISIR and the Student Aid Report (SAR) are federal output documents produced by the CPS from FAFSA data. The SAR is sent to the student, while the ISIR is sent to the student's school. SARs and ISIRs contain the same processed student information in different formats.
- National Student Loan Data System (NSLDS). A national database of student loan-level information and selected grant data on aid disbursed under the Title IV programs.
- Recipient Financial Management System (RFMS). Processes Pell Grant Payments.
- Student Aid Report (SAR). The federal output document produced by the CPS and mailed to the student. The SAR contains the family's information and other information as reported by the student on the FAFSA.
- Student Status Confirmation Roster (SSCR). Report completed by schools or their servicers and used in the administration of the Federal Family Education Loan Program to track the enrollment status of borrowers.
- Social Security Number (SSN). Number assigned to an individual by the U.S. Social Security Administration and used as identifying number for many federal purposes including federal student financial aid program administrators and applicants.
- SAIG (Student Aid Internet Gateway). The SAIG links thousands of destination points across the United States, its territories, and certain ED-designated foreign countries to the Higher Education Act's Title IV student financial aid programs and delivery system. Organizations enroll individuals as destination point administrators (DPAs) on the SAIG. DPAs are assigned destination points (electronic mailboxes) which receive and transmit data for various Title IV student aid program system services such as NSLDS, Direct Loan, and CPS. (See Destination Point and Destination Point Administrator.)
- *SAIG User*. An individual allowed access to a destination point by the assigned destination point administrator (DPA). A SAIG User must read and sign a SAIG User Statement which the DPA must maintain.
- *U.S. Department of Education (ED, Department).* The federal agency responsible for the administration of federal education programs including the federal student financial aid programs.

# **Attachment A: SAIG Fee Schedule**

Effective 10/1/00 - 9/30/2001				
Product		Unit	Price	
Soft	ware (SAIG)			
1.	Additional copy of mainframe software	per copy	\$57.08	
2.	Additional copy of PC software (diskettes)	per copy	\$12.40	
Soft	ware			
1.	Additional copy of mainframe software	per copy	\$55.48	
2.	Additional copy of PC software (diskette)	per copy	\$14.30	
Doc	umentation			
1.	Additional copy of Application System User's Guide	per notebook	\$41.46	
2.	Additional copy of User's Guide updates	1-25 update pages 26-100 updates pages 101-175 update pages 176-250 update pages	\$4.60 \$12.06 \$20.74 \$28.52	
3.	Additional copy of Desk References	per copy	\$3.79	
Data	a Transmissions			
1.	Data transmissions (other than those paid for by ED)	per kilocharacter prime time	\$0.0551	
		per kilocharacter prime time	\$0.0327	
		per international kilocharacter	\$0.220	
2.	2000-2001 year-to-date data	per 1000 records via network*	\$44.73	
		per 1000 recordson tape	\$48.76	
3.	2001-2002 year-to-date data	per 1000 records via network*	\$44.73	
		per 1000 records on tape	\$48.76	
Sess	ion Connectivity Costs			
On-l	ine Query session connectivity	one hour connect time	\$2.22	
		one hour international connect time	\$27.63	
Mise	cellaneous Costs			
1.	SAIG Enrollment Form (Paper)	per form	\$33.15	
2.	Enrollment Update (Paper)	per update	\$25.87	
3.	Web Enrollment Form	per form	\$19.58	
4.	Web Enrollment Update	per update	\$13.56	
5.	User Support Services - WAN	phone calls** fax/transfer/VPS***	\$15.02 \$0.080	
6.	User Support Services - CPS	phone calls** fax/transfer/VPS***	\$13.36 \$13.36	

If you have any questions, call Title IV WAN Network Operations Center at 800/615-1189.

<sup>\*</sup> Number of records being billed are the records that were previously sent to the Destination Point.

<sup>\*\*</sup> User is billed for all calls except for questions on billing or reporting a system problem.

<sup>\*\*\*</sup> These are calls handled by the VPS, an automated Voice Response System, where you do not talk to a Customer Service Representative.

# **Attachment B: SAIG User Statement**

Anyone who accesses Title IV program data and uses resources that access SAIG (such as computers or workstations) must read and sign this statement. Keep a copy of the signed statement for your records. A signed original SAIG User Statement must be completed and maintained by the destination point administrator for each of the destination points (electronic mailboxes) to which you have access.

A SAIG User understands that if he or she intentionally submits false or misleading information to the U.S. Department of Education, he or she will be subject to a fine up to \$10,000, imprisonment for up to five years, or both, under provisions of the United States Criminal Code (including 18 U.S.C. 1001). The SAIG User also agrees to comply with all provisions of Section 483 of the Higher Education Act of 1965, as amended.

A SAIG User understands that the information provided to him or her by the U.S. Department of Education is protected by the Privacy Act of 1974, as amended. Protecting this information, once it is entrusted to the SAIG User, becomes his or her responsibility. Therefore, the SAIG User agrees to protect the privacy of all information that has been provided to him or her by the U.S. Department of Education. The SAIG user understands that any person, including himself or herself, who knowingly and willfully requests or obtains any record concerning an individual from an agency under false pretenses shall be guilty of a misdemeanor and may be fined not more than \$5,000.

# **Appropriate Use**

At a minimum, appropriate use consists of the following:

- Using SAIG computing resources only for official government business. Any other use must be approved expressly by the U.S. Department of Education.
- Knowing the SAIG destination point administrator for each of the destination points you access and how to contact them.
- Protecting all SAIG information from access by or disclosure to unauthorized personnel.
- Reporting immediately to your destination point administrator any security incidents, potential threats, or vulnerabilities that involve SAIG resources.
- Protecting any tools, such as passwords, that allow you access to SAIG (these tools are called "authenticators").
- Reporting to your destination point administrator any compromise, suspected compromise, or incidents of sharing of a password or any other authenticator.
- Accessing only systems, networks, data, control information, and software for which you are authorized.
- Ensuring that all information that comes from SAIG is marked according to its sensitivity and is properly controlled and stored.
- Informing your destination point administrator when you no longer need access to a SAIG resource, such as when you change jobs or leave employment.
- Avoiding the introduction of any code that might be harmful to SAIG.

TG# Destination Point	Destination Point Administrator (DPA) Name		
SAIG User Name			
	(Print)		
SAIG Job Title	SSN	Phone #()	
SAIG User Signature		Date	
DPA Signature	Date		
(This statement with an original sign	ature must be maintained by	the Destination Point Administrator.)	